

# AML Policy

**Fortune Prime Global Capital Pty Ltd trading as Fortune Prime Global**

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| Date Update: | 01 July 2024   |

## **Anti-Money Laundering Policy**

Fortune Prime Global is committed to the highest standards of the Anti-Money Laundering (AML) compliance and Counter-Terrorism Financing (CTF). To help the government fight the funding of terrorism and money laundering activities, law requires all financial institutions to obtain, verify, and record information that identifies each person opening an account.

Money laundering – the process of converting funds, received from illegal activities (such as fraud, corruption, terrorism, etc.), into other funds or investments that look legitimate to hide or distort the real source of funds.

Fortune Prime Global adheres to the principles of Anti-Money Laundering and actively prevents any actions that aim or facilitate the process of legalizing of illegally gained funds. AML policy means preventing the use of the company's services by criminals, with the aim of money laundering, terrorist financing or other criminal activity.

The process of money laundering can be divided into any form and any means: To prevent money laundering, Fortune Prime Global neither accepts nor pays cash under any circumstances. The company reserves the right to suspend any client's operation, which can be regarded as illegal or, may be related to money laundering in the opinion of the staff.

### **COMPANY PROCEDURES**

Fortune Prime Global ensuring we are dealing with the client itself or true legal entity. Fortune Prime Global also performs all the necessary measures in accordance with applicable law and regulations, issued by monetary authorities. The AML policy is being fulfilled within Fortune Prime Global by means of the following:

- know your customer policy and due diligence
- monitoring of client activity
- record keeping

### **KNOW YOUR CUSTOMER AND DUE DILIGENCE**

Fortune Prime Global commitment to the AML and KYC policies, each client must go through a verification procedure. Before Fortune Prime Global starts any cooperation with the client, the company ensures that satisfactory evidence is produced or such other measures that will produce satisfactory evidence of the identity of any customer or counterparty are taken.

### **CUSTOMER VERIFICATION**

During the process of registration, each client provides personal information, specifically: full name; date of birth; country of origin; and complete residential address. The following documents are required in order to verify the personal information: A client sends the following documents (in case the documents are written in non-Latin characters: to avoid any delays in the verification process, it is necessary to provide a notarized translation of the document in English) because of the requirements of KYC and to confirm the indicated information:

- Current valid passport (showing the first page of the local or international passport, where the photo and the signature are clearly visible); or
- Driving licence which bears a photograph; or
- National identity card (showing both front and back pages);

- Documents proving current permanent address (such as utility bills, bank statements, etc.) containing the client's full name and place of residence. These documents should not be older than 3 months from the date of filing.

#### **MONITORING OF CLIENT ACTIVITY**

In addition to gathering information from the clients, Fortune Prime Global continues to monitor the activity of every client to identify and prevent any suspicious transactions. A suspicious transaction is known as a transaction that is inconsistent with the client's legitimate business or the usual client's transaction history known from client activity monitoring. Fortune Prime Global has implemented the system of monitoring the named transactions (both automatic and, if needed, manual) to prevent using the company's services by criminals.

#### **RECORD KEEPING**

Records must be kept of all transaction data and data obtained for the purpose of identification, as well as of all documents related to money laundering topics (e.g. files on suspicious activity reports, documentation of AML account monitoring, etc.). Those records are kept for a minimum of 7 years after the account is closed.

#### **MEASURES TAKEN**

In cases of an attempt to execute transactions which Fortune Prime Global suspects that are related to money laundering or other criminal activity, it will proceed in accordance with the applicable law and report suspicious activity to regulating authority.

Fortune Prime Global reserves the right to suspend any client's operation, which can be regarded as illegal or may be related to money laundering in the opinion of the staff. Fortune Prime Global has complete discretion to temporarily block the suspicious client account or terminate an existing client relationship.